

2161  
**ATTENTION ATTENTION ATTENTION**

Date Processed:

*OVAE*

*2/5/01*

☐

**ACH Payment**

Attached Is The Most Current ACH Information

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☐

**Treasury Check**

The Most Current ACH Information Was

Requested From This Customer With The Purpose Of

Processing His Refund Request By Electronic Fund

Transfer (EFT). No EFT Information Was Received.

Therefore, If Customer Is Due A Refund;

**A Check Will Be Issued.**

☒

**Credit To Deposit Account**

*09/676448*

*GA*

This Request for Refund Will Be Processed By Crediting Deposit  
Account Number 23-3050, If Customer Is Due A Refund.

**ATTENTION ATTENTION ATTENTION**

LAW OFFICES

**WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS**

A PARTNERSHIP INCLUDING PROFESSIONAL CORPORATIONS

ONE LIBERTY PLACE - 46TH FLOOR  
PHILADELPHIA, PA 19103-7301

(215) 568-3100

Facsimile: (215) 568-3439  
Cable: WOODCOCK

DATE: January 17, 2001

Job Code: 19222

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Please deliver this and the following pages to:

Name: Reggie  
Company/Firm: United States Patent Office/Deposit Accounts Dept.  
Telecopier No.: (703) 308-5077  
Client/Matter No.: CRTW-0004; Serial No. 09/676,448  
SENDER'S NAME: George J. Awad  
PAGES TO FOLLOW: 08

If transmission is not complete, please call (215) 568-3100.

COVER MESSAGE:

Dear Reggie:

Attached hereto please find a copy of a Monthly Statement of Deposit Account ("Deposit Account Statement") for Deposit Account No. 233050 dated October 31, 2000, charging the Deposit Account \$690.00 on October 18, 2000 (Control No. 18, Fee Code 101). Please be advised that the serial number (09/676,449) indicated on the Deposit Account Statement, bearing our Docket No. CRTW-0004 was inadvertently charged to our Deposit Account.

Also attached is a Communication forwarded to the U.S. Patent Office returning the original Official Filing Receipt bearing Application Serial No. 09/676,449, Filing Date: November 14, 2000 and bearing our Docket No. CRTW-0004. As can be seen from the attached papers, the correct Application Serial Number for the above-mentioned patent application is Application Serial No. 09/676,448, Filing Date: November 14, 2000, Docket No. CRTW-0004. Accordingly, please debit our Deposit Account in the amount of \$690.00 for the Basic Filing Fee.

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERY OF THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE, AND RETURN THE ORIGINAL TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

Adjustment date: 03/20/2001 NVILLARI  
10/18/2000 PSTANBAC 00000009 233050 09676449  
01 FC:101 690.00 CR



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

# MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

*11/29/2000 - Serial # Hope*

Account No.	233050
Date	10-31-00
Page	2

WOODCOCK WASHBURN KURTZ MACKIEWICZ&NORR FINA  
ATT: RITA SIGMUND  
ONE LIBERTY PLACE  
46TH FLOOR  
PHILADELPHIA PA 19103

PLEASE SEND REMITTANCES TO  
Patent and Trademark Office  
P.O. Box 70541  
Chicago, Ill. 60673  
*RECEIVED MAY 4 - 2001*

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
10	6	00	178	5197180	NEED-0002	581	NLN — 80.00	14413.60
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OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE

AN AMOUNT SUFFICIENT TO  
COVER ALL SERVICES REQUESTED  
MUST ALWAYS BE ON DEPOSIT.

\*\*\* O.D. INDICATES OVERDRAW

**RECEIVED BY THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**Paper:** Communication Returning Original Official Filing Receipt Dated November 14, 2000 and Original Notice to File Missing Parts of Nonprovisional Application Dated November 14, 2000, both Bearing Application Serial No. 09/676,449, That Were Inadvertently Forwarded To Woodcock Washburn w/Certificate of First Class Mailing; Original Official Filing Receipt Dated November 14, 2000; Original Notice to File Missing Parts of Nonprovisional Application Dated November 14, 2000; Copy of Official Filing Receipt Dated November 24, 2000, bearing Application Serial No. 09/676,448; Copy of Return Postcard w/U.S.PTO's Acknowledgment of Receipt Stamped September 29, 2000; Return Postcard

**Applicant(s):** Shawn D. Cartwright

**Title:** SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND TRANSACTING THE SAME

**Serial No.:** 09/676,448

**Filed:** September 29, 2000

**Docket No.:** CRTW-0004

**Date Sent:** December 14, 2000

MDS/GJA/Hope Graves

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Technology Center 2100

**RECEIVED BY THE UNITED STATES <sup>MDS</sup>  
PATENT AND TRADEMARK OFFICE**

**Paper:** Communication Returning Original Official Filing Receipt Dated November 14, 2000 and Original Notice to File Missing Parts of Nonprovisional Application Dated November 14, 2000, both Bearing Application Serial No. 09/676,449, That Were Inadvertently Forwarded To Woodcock Washburn w/Certificate of First Class Mailing; Original Official Filing Receipt Dated November 14, 2000; Original Notice to File Missing Parts of Nonprovisional Application Dated November 14, 2000; Copy of Official Filing Receipt Dated November 24, 2000, bearing Application Serial No. 09/676,448; Copy of Return Postcard w/U.S.PTO's Acknowledgment of Receipt Stamped September 29, 2000; Return Postcard

**Applicant(s):** Shawn D. Cartwright

**Title:** SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND TRANSACTING THE SAME

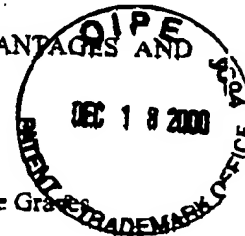
**Serial No.:** 09/676,448

**Filed:** September 29, 2000

**Docket No.:** CRTW-0004

**Date Sent:** December 14, 2000

MDS/GJA/Hope Graves



**DOCKET NO. CRTW-0004****PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****In Re Application of: Shawn D.  
Cartwright****Serial No.: 09/676,448****Group Art Unit: 2161****Filing Date: September 29, 2000****Examiner: Not Yet Assigned****For: SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND  
TRANSACTIONING THE SAME****RECEIVED**  
MAY 4 - 2001  
Technology Center 2100

I, George J. Awad, Registration No. 46,528 certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

On December 14, 2000  
George J. Awad  
George J. Awad, Reg. No. 46,528

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**COMMUNICATION RETURNING ORIGINAL OFFICIAL FILING RECEIPT DATED  
NOVEMBER 14, 2000 AND ORIGINAL NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION DATED NOVEMBER 14, 2000, THAT WERE  
INADVERTENTLY FORWARDED TO WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS**

This communication is being filed to forward and return the enclosed original Official Filing Receipt dated November 14, 2000 and original Notice to File Missing Parts of Nonprovisional Application dated November 14, 2000, bearing Application Serial No. 09/676,449, with Docket No. CRTW-0004. Also enclosed is a copy of an Official Filing Receipt dated November 24, 2000, bearing Application Serial No. 09/676,448, with Docket No. CRTW-0004.

**DOCKET NO. CRTW-0004****- 2 -****PATENT**

Please be advised that the enclosed original Official Filing Receipt dated November 14, 2000, bearing Application Serial No. 09/676,442, with Docket No. CRTW-0004, was inadvertently forwarded to Woodcock Washburn Kurtz Mackiewicz & Norris LLP. Reference is made to the as-filed specification, Patent Application Transmittal and unexecuted Declaration and Power of Attorney forwarded to the U.S. Patent Office for filing on September 29, 2000. A copy of the return postcard with the U.S. Patent's acknowledgment of receipt stamped September 29, 2000, bearing Application Serial No. 09/676,442 is also enclosed.

Also, please be advised that the information indicated on the enclosed copy of the Official Filing Receipt for Application Serial No. 09/676,442, with Docket No. CRTW-0004, contains the correct inventor's name, number of claims, number of drawings and title as referenced on the as-filed specification, Patent Application Transmittal and unexecuted Declaration and Power of Attorney forwarded to the U.S. Patent Office for filing on September 29, 2000.

Accordingly, Applicant respectfully requests that Official Filing Receipt dated November 14, 2000, bearing Application Serial No. 09/676,442, with Docket No. CRTW-0004, be forwarded to the correct person of record, without the use of Docket No. CRTW-0004. Applicant also respectfully requests that the enclosed documents be acknowledged and that the records be updated accordingly.

Respectfully submitted,

Dated:

December 14, 2000

  
George J. Awad  
Registration No. 46,528

WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103  
(215) 568-3100 - Telephone  
(215) 568-3439 - Facsimile

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/676,449	09/29/2000	2188	690	CRTW-0004	6	4	1

Woodcock Washburn Kurtz Mackiewicz & Noms LLP  
One Liberty Place 46th Floor  
Philadelphia, PA 19103

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NOV 20 2000

## FILING RECEIPT



\*OC000000005553896\*

Woodcock Washburn Kurtz  
Mackiewicz & Noms LLP

Date Mailed: 11/14/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gilad Sade, Newton, MA :

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/212,749 06/20/2000

## Foreign Applications

If Required, Foreign Filing License Granted 11/14/2000

## Title

Replacement of dual write cache

## Preliminary Class

711

Data entry by : MILANI, JALEH

Team : OIPE

Date: 11/14/2000



MDS/GA

BD



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/676,449	09/29/2000	Gilad Sade	CRTW-0004

Woodcock Washburn Kurtz Mackiewicz & Noms LLP  
One Liberty Place 46th Floor  
Philadelphia, PA 19103

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NOV 20 2000

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WWKMN

## FORMALITIES LETTER



\*OC000000005553897\*

Date Mailed: 11/14/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(d)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



**RECEIVED BY THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**Paper:** Regular Utility Patent Application Transmittal Letter w/Certificate of Mailing (in duplicate) (6 pp.); Specification - Pages 1-26; Nine Sheets of Formal Drawings Figures 1-6; Petition to Make Application Special under 37 C.F.R. 1.102(d) and M.P.E.P. 708.02(VIII) (6 pp.); Information Disclosure Statement Supporting Petition to Make Application Special (3 pp.); USPTO Form PTO-1449 (2 pp.); Copies of References AA-AM; 4 Publications Cited; 9 U.S. Patent Cited; 13 Copies Submitted; Unexecuted Declaration and Power of Attorney (3 pp.); Check for \$1,702.00; Return Postcard all via Express Mail No. EL531 173 605US

**Applicant(s):** Shawn D. Cartwright

**Title:** SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND TRANSACTING THE SAME

**Serial No.:** Not Yet Assigned

**Filed:** September 29, 2000

**Docket No.:** CRTW-0004

**Date Sent:** September 29, 2000

**EL531173605US**

MDS/GIA/Hope Graves

**RECEIVED BY THE UNITED STATES  
PATENT AND TRADEMARK OFFICE**

**Paper:** Regular Utility Patent Application Transmittal Letter w/Certificate of Mailing (in duplicate) (6 pp.); Specification - Pages 1-26; Nine Sheets of Formal Drawings Figures 1-6; Petition to Make Application Special under 37 C.F.R. 1.102(d) and M.P.E.P. 708.02(VIII) (6 pp.); Information Disclosure Statement Supporting Petition to Make Application Special (3 pp.); USPTO Form PTO-1449 (2 pp.); Copies of References AA-AM; 4 Publications Cited; 9 U.S. Patent Cited; 13 Copies Submitted; Unexecuted Declaration and Power of Attorney (3 pp.); Check for \$1,702.00; Return Postcard all via Express Mail No. EL531 173 605US

**Applicant(s):** Shawn D. Cartwright

**Title:** SYSTEM AND METHODS FOR OBTAINING ADVANTAGES AND TRANSACTING THE SAME

**Serial No.:** Not Yet Assigned

**Filed:** September 29, 2000

**Docket No.:** CRTW-0004

**Date Sent:** September 29, 2000

jc930 U.S. PTO

09/676448



09/29/00

MDS/GIA/Hope Graves

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BD



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/676,448	09/29/2000	2181	1572	CRTW-0004	9	43	9

George J. Awad  
WOODCOCK WASHBURN KURTZ  
MACKIEWICZ & NORRIS LLP  
One Liberty Place - 46th Floor  
Philadelphia, PA 19103

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DEC 07 2000

Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP

## FILING RECEIPT



\*0000000005575457\*

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DOCKET DEPT. Date Mailed: 11/24/2000  
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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shawn D. Cartwright, Philadelphia, PA ;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/212,749 06/20/2000

## Foreign Applications

If Required, Foreign Filing License Granted 11/22/2000

## Title

System and methods for obtaining advantages and transacting the same

## Preliminary Class

705

Data entry by : LE, THANH-LAN

Team : OIPE

Date: 11/24/2000

